

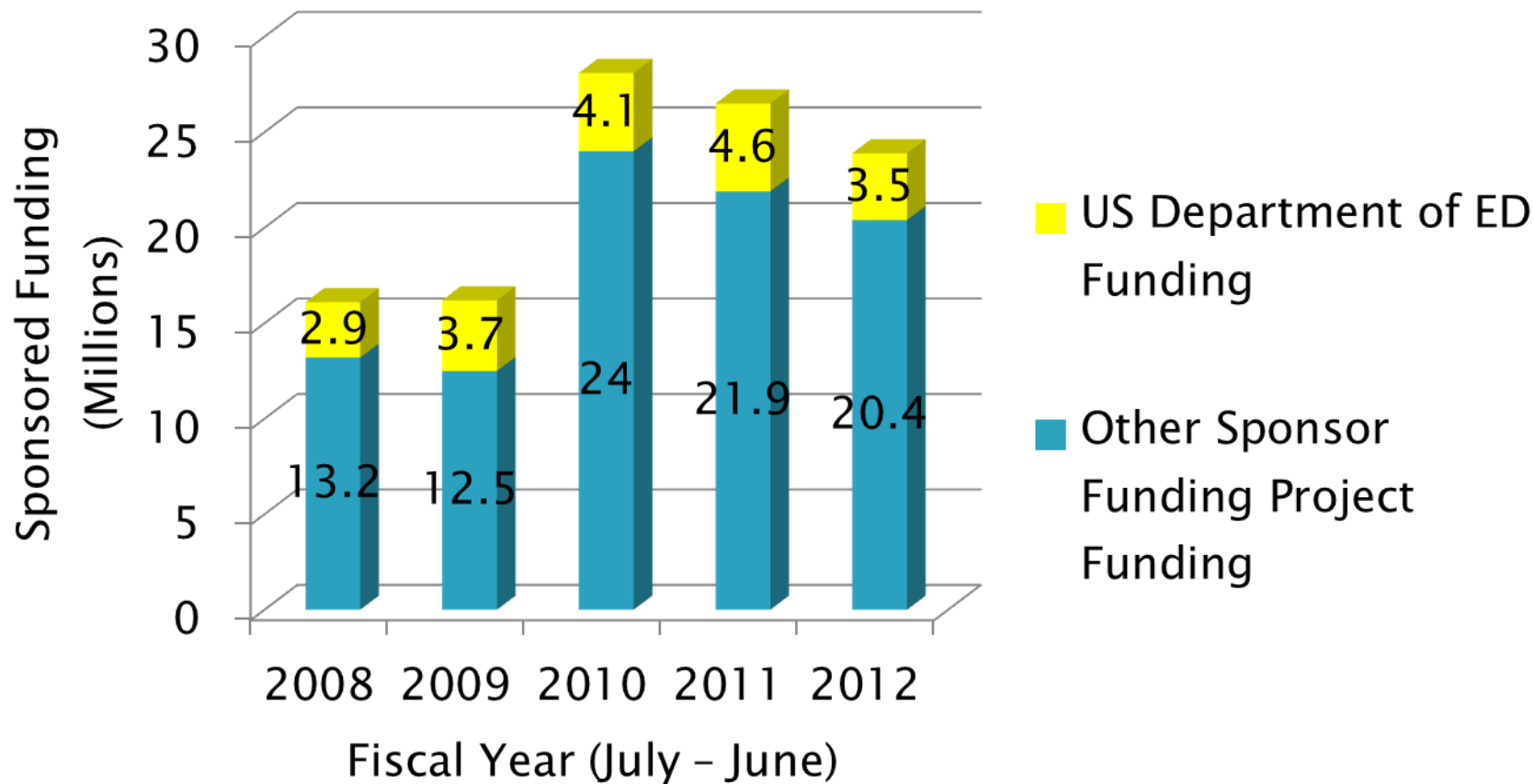
# The ABCs and 123s of US Department of Education Programs

- Barbara Ploszay, Director of Grants Administration, Office of Research and Sponsored Programs, Marquette University
- Claire Dinkelman, Administrative Coordinator, Educational Opportunity Program, Marquette University
- Deborah A. Epps, MBA, Research Coordinator, Department of Orthopaedic Surgery, Medical College of Wisconsin

# Topics

- ▶ Department of Education Funding at Marquette University
  - ▶ FIPSE
  - ▶ GAANN
  - ▶ TRIO Programs
  - ▶ NIDRR Programs
- 

# Research and Sponsored Program Funding at Marquette University



# Fund to Improve Postsecondary Education (FIPSE)

- ▶ Agenda often parallels that of the President, currently:

“**B**y 2020, America will once again have the highest proportion of college graduates in the world... So tonight I ask every American to commit to at least one year or more of higher education or career training... every American will need to get more than a high school diploma.”

- ▶ President Barack Obama
- ▶ Address to Joint Session of Congress
  - ▶ February 24, 2009

# Fund to Improve Postsecondary Education (FIPSE)

- *Comprehensive Program*
  - The Comprehensive Program is the central grant competition of the fund. The competition is designed to support innovative reform projects that hold promise as models for the resolution of important issues and problems in postsecondary education.
  - Not funded since 2010 and don't anticipate the restoration in the immediate future.

# Fund to Improve Postsecondary Education (FIPSE)

- New Initiative – FIPSE/First in the World, designed to improve student outcomes by challenging us to come up with innovative solutions which will address the completion challenge and improve higher education productivity, build evidence of what works through rigorous evaluations, and scale up and disseminate those strategies that prove successful (modeled after the i3 program).
- In budget since 2011 but has not yet made it off the ground as new programs are most vulnerable to budget cuts. (123M in President's 2012 budget.

# Fund to Improve Postsecondary Education (FIPSE)

First in the World, cont.

- Promised that it will kick off by FY2014 as the administration remains committed.
- FIPSE foresees three types of grants:
  - Program Development
  - Pilot Implementation
  - Large Scale Adoption/Implementation – anticipated to be 7 to 8 figure grants, multi-state, multi-campus

# Fund to Improve Postsecondary Education (FIPSE)

- ▶ Web Resource Tool for Campuses, Promising and Practical Strategies for Post-Secondary Success, found at:

[www.ed.gov/college-completion/promising-strategies](http://www.ed.gov/college-completion/promising-strategies)

- FIPSE has had two deadlines for submission and plan to have a third in upcoming months.
- Great way to publicize promising strategies and a great lead into a First in the World application.



# Fund to Improve Postsecondary Education (FIPSE)

- ▶ Training for Realtime Writers – This program, administered by FIPSE, is designed to assist court reporting and captioning programs with recruiting and training more realtime writers to meet the increasing demands for captioned television mandated by the Telecommunications Act of 1996.
  - FIPSE anticipates a competition inviting proposals for up to \$300,000 to be announced any day with a deadline of late May, early June.
  - Institutions must have active court reporting program to apply.

# Graduate Assistance in Areas of National Need (GAANN)

- ▶ This program provides fellowships, through academic departments and programs of IHEs, to assist graduate students with excellent records who demonstrate financial need and plan to pursue the highest degree available in their course study at the institution in a field designated as an area of national need.
  - Current Year (2013) competition cancelled. GAANN has no comment as of yet on a FY14 competition.

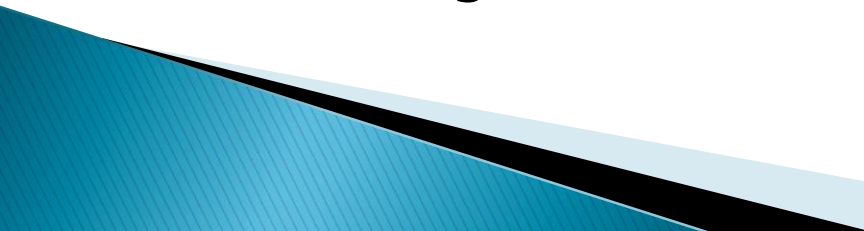
# Graduate Assistance in Areas of National Need (GAANN)

- Under the Government Performance and Results Act), three measures have been developed for evaluating the overall effectiveness:
  - To increase the percentage of GAANN fellows completing the terminal degree in the designated areas of national need;
  - To increase the percentage of GAANN fellows from traditionally underrepresented groups enrolled in a terminal degree program in the designated areas of national need; and
  - To decrease the time to completion of Master's and Doctorate degrees for GAANN students.

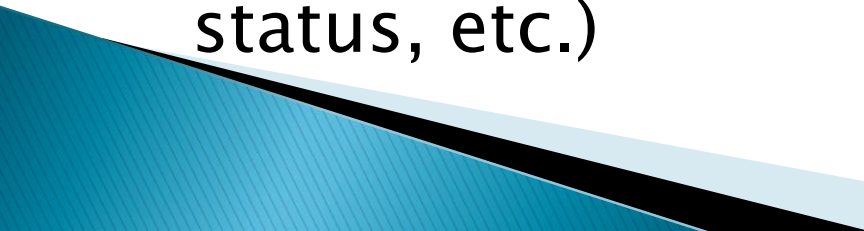
# Graduate Assistance in Areas of National Need (GAANN)

- Institutions apply for a group of three-year fellowship awards. Average award consists of 3–6 fellowships.
- 25% cost share requirement. This equates to 1 fellowship for every 4 funded by GAANN.
- Fellowships are need based. Need is determined by FAFSA. Institutions are encouraged to discuss GAANN program with respective Financial Aid offices prior to application.
- Fellowship consists of stipend of up to \$30,000 plus a \$14,422 (FY12) institutional allowance. Institutions must cover all tuition needs. If funds remain the allowance can be used for other educational needs (i.e. textbooks, software, travel, etc.)

# Graduate Assistance in Areas of National Need (GAANN)

- Award timing has been a challenge for MU. Award periods are 8/15 – 8/14. Awards are often announce in August making it very challenging to fill fellowship slots for the initial AY. GAANN has been flexible with no-cost extensions.
  - Some institutions offer these fellowships to existing students, others use them for recruiting tools for incoming students or some combination of the two. Method is typically dictated by institution's cost of attendance and typical graduate student packages.
  - Institution makes commitment to student to fund full length of student, not to exceed 5 years.
- 

# TRIO Programs – General Overview

- ▶ 7 total, 4 at Marquette
  - ▶ 5 year grant cycles
  - ▶ Min and Max\$ amt per student
  - ▶ Abstract, Proposal (Need, Objectives, Narrative, Quality of Personnel, Budget, Evaluation), Competitive Priorities
  - ▶ First-generation and low-income from 6<sup>th</sup> grade to postsecondary education
  - ▶ Additional qualifications for each program (location, underrepresentation, veteran status, etc.)
- 

# TRIO Programs – Student Support Services

- ▶ Purpose: Graduate with a Bachelor's degree
- ▶ Funded for 280
- ▶ AY component w/ residential summer program for incoming college first year students
- ▶ Required Services:
  - Tutoring
  - Academic Advising
  - Financial aid help (FAFSA completion)
  - Help with applying to 4yr institution (2 yr. sch) or graduate school (4 yr sch)
  - Financial and Economic Literacy

# TRIO Programs – Upward Bound

- ▶ Purpose: Graduate from high school, enroll in college and graduate with a Bachelor's degree
- ▶ Funded for 150
- ▶ AY component w/ summer program for rising freshmen, sophomores, and juniors
- ▶ Required Services:
  - Academic tutoring
  - Academic Advising
  - Assistance preparing for College (ACT prep, college apps, financial aid apps, etc. )
  - Helping students re-enter high school if dropout
  - Financial and economic literacy for students and parents
  - Instruction in core subjects



# TRIO Programs – Upward Bound Math–Science

- ▶ Purpose: Graduate from high school, enroll in college and graduate with a BA degree in STEM
- ▶ Funded for 57
- ▶ AY Component w/ residential summer program for rising sophomores, juniors, and seniors
- ▶ Required Services – UB required services AND:
  - Intensive instruction in math and science, focus on lab work
  - Exposure and activities with STEM professionals at universities or outside health/math/science orgs
  - Exposure and activities with current college students majoring in STEM to be tutors and/or advisors
  - Summer instructional component to mimic college-going experience with daily coursework and other activities

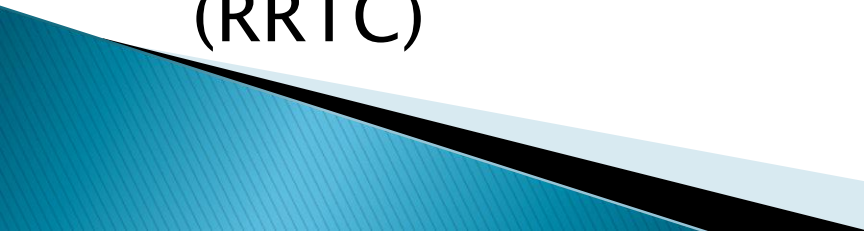
# TRIO Programs – Ronald E McNair Baccalaureate Achievement

- ▶ Purpose: Graduate with a Bachelor's degree, enroll in graduate education and complete a Ph.D. within 10 years and return to academia
- ▶ Funded for 31
- ▶ AY Component with Summer Research Institute
- ▶ Required Services:
  - Research and scholarly opportunities
  - Summer internships
  - Seminars and other educational activities
  - Tutoring
  - Academic counseling
  - Help with applying for graduate school and securing financial aid


# Dept of Ed Initiatives for TRIO

- ▶ STEM education
  - Moved funding from McNair to UBMS
  - STEM competitive priority for McNair
  - STEM competitive priority for SSS (most likely)
- ▶ Budget Efficiency
  - Competitive Priorities for McNair, UBMS, and UB
- ▶ Evaluation
  - Competitive Priorities
  - Closer attention to proposal section during competitions

# NIDRR Programs

- ▶ National Institute on Disability and Rehabilitation Research (NIDRR) under the Office of Special Education and Rehabilitative Services (OSERS)
  - ▶ Advanced Rehabilitation Research Training (ARRT) Programs
  - ▶ Field Initiated Programs (FIP)
  - ▶ Rehabilitation Research Engineering Center (RERC)
  - ▶ Rehabilitation Research and Training Center (RRTC)
- 

# ARRT Program

- ▶ 4 funded ARRT programs since 2002
  - ▶ Currently two funded ARRT programs
  - ▶ Funds only Post Doctoral Trainees
  - ▶ \$150,000 per year for 5 years (\$750,000 total)
  - ▶ Training program must operate in interdisciplinary environments and provide training in rigorous scientific methods
  - ▶ Provide trainees the skills needed to become independent rehabilitation/disability researchers
- 

# ARRT Program

- ▶ Program is very different than NIH T-32.
- ▶ Budget allows for administrative costs (salaries for Project Director, Training Coordinator), stipend (salary and fringes) for Post-Doctoral Fellows, travel, tuition, equipment, supplies, etc.
- ▶ Training period for fellows at least one year, can be longer (We have some that are 3 years)
- ▶ <http://www2.ed.gov/programs/rtg/index.html>

# Field Initiated Program (FIP)

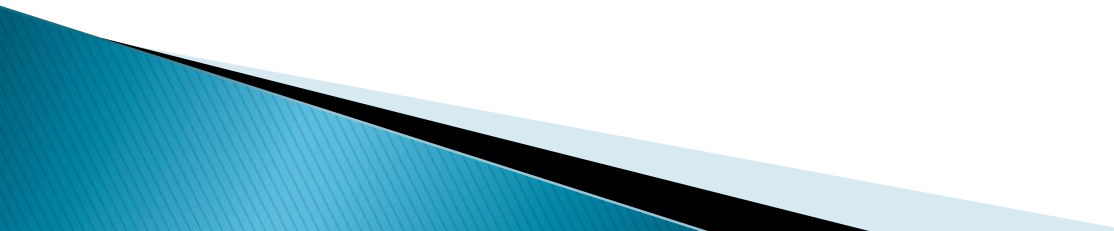
- ▶ Similar to NIH R01s
- ▶ Fund Research Projects and Development Projects
- ▶ Different application format for Research and for Development
- ▶ 3 funded Research FIP through Marquette, one funded Research FIP through MCW and 1 funded Development FIP through MCW
- ▶ \$200,000 per year INCLUDING indirect costs, for three years (\$600,000 total)

# FIP

- ▶ Program of investigator–initiated projects
- ▶ Addresses diverse research issues in promising and innovative ways
- ▶ Covers all of NIDRR’s domains: Employment, independent living, medical rehabilitation and development of new technologies, and address all disability populations with a wide range of research approaches
- ▶ <http://www2.ed.gov/programs/fip/index.htm>  
!



# RERC Program

- ▶ Rehabilitation Engineering Research Center (RERC)
  - ▶ RERC on Technologies for Children with Orthopedic Disabilities: [www.Tech4POD.org](http://www.Tech4POD.org)
  - ▶ \$950,000 total cost per year (directs & indirects) for five years, \$4,750,000 total
  - ▶ There are a variety of RERCs, each with their own focus
- 

# RERCs

- ▶ RERCs conduct programs of advanced research of an engineering or technical nature designed to apply advanced technology, scientific achievement, and psychological and social knowledge to solve rehabilitation problems and remove environmental barriers
- ▶ Must include research projects, development projects, training activities, and dissemination activities
- ▶ <http://www2.ed.gov/programs/rerc/index.html>

# RRTC Program

- ▶ Rehabilitation Research and Training Centers (RRTC)
- ▶ RRTCs conduct coordinated and advanced programs of research, training, and information dissemination in general problem areas that are specified by NIDRR
- ▶ Each RRTC has a major program of research in a particular area
- ▶ Average new award is \$650,000 total cost per year for five years
- ▶ <http://www2.ed.gov/programs/rrtc/index.html>

# NIDRR

- ▶ Annual Performance Report (due July 1 each year, covers period 6/1 to 5/31, applies to all grants regardless of start date)
  - ▶ NIDRR Long Range Plan for Fiscal Years 2013–2017, Federal Register Volume 78, Number 65 (Thursday, April 4, 2013)]
  - ▶ NIDRR Application Information Guide
    - Understanding NIDRR Programs
    - How to apply
    - Writing a successful grant application
- <http://www2.ed.gov/programs/nidrr/applyingforanidrrgrant.html>

# NARIC

- ▶ National Rehabilitation Information Reporting Center
  - NIDRR library – collect, catalog, and disseminate the articles, reports, curricula, guides, and other publications and products of projects funded by NIDRR.
  - Sign up to receive NIDRR announcements at <http://www.naric.com>

# Resources

- ▶ 2012 Guide to US Dept. of Education Programs:

<http://www2.ed.gov/programs/gtep/index.html>

- ▶ Forecast of Funding Opportunities - Discretionary Grant Programs FY 2013:

<http://www2.ed.gov/fund/grant/find/edlite-forecast.html>

- ▶ Grants.gov: <http://www.grants.gov/>