Research Rocks But Compliance Rules Or

Compliance Rules Make Research Rocky





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Disclaimer

 Any resemblance between Investigators depicted in this presentation and any Investigator at The Mayo Clinic, University of Michigan or Washington University is purely coincidental. Investigators at These prestigious institutions are always prompt, courteous and compliant, without coaxing, cajoling, or coercing. As a panel we have the utmost respect for our investigators, but would have no problem using them as an example if and when they ever happen to not be prompt, courteous and compliant, which has never happened. So we just made this stuff up.....Really

Create A Culture of Compliance

Become a member of the Research Team

- Creates an atmosphere of respect.
- Generates a continuing dialog of compliance.
- Creates an awareness that compliance is an not just an after thought.
- Once there is a culture of compliance people will do it on their own.
- Researchers will view us as part of the research team rather than outsiders.

Tips on "Be"coming a Research Team Member

Be Professional

Be Proactive

Be Positive

Be Accessible

Be Professional

- You don't have to know all the answers, just where to find them
- Give the right service the first time/every time
- Get involved in NCURA
- Look the part/talk the part

Be Proactive

Orientation for new research team members

Communication network

Create and nurture relationships

If it seems like paperwork, offer to do it

Be Positive

Just say "You're right."

Provide knowledge

Educating without teaching or preaching

• How often do you talk with faculty about compliance?

Be Accessible Be Available

Be accessible / communicate clearly

MBWA - Management By Walking Around

Provide service in a timely manner

Be pleasant

Now your part of the Team

Hip Hip Hurrah

Now What?

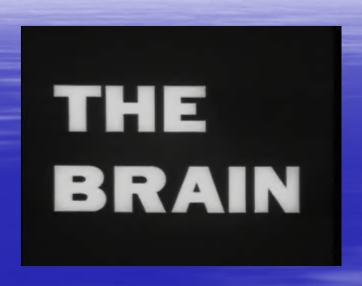
The Real Work Begins

The Head of the Team?



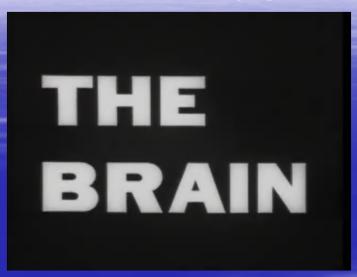


"I'll handle the brain"



- What do you do when you hear this?
- When is this something you can't handle yourself?

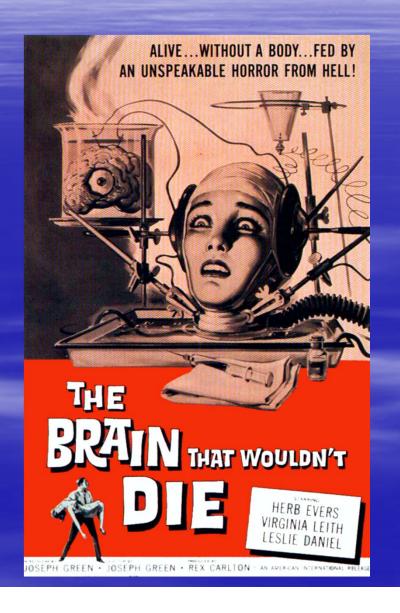
"Limbs"



- What do you do about rumors?
- Do you confront?
- Can you continue to cover up?

The Aftermath

Perhaps the PI hasn't thought this through...



Consequences

How do you explain to the PI that there are things she may not have considered?

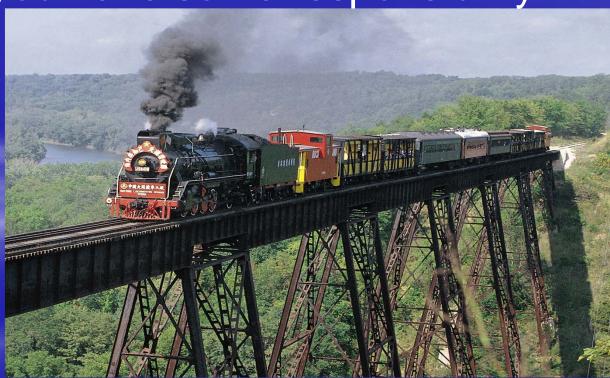
Are there consequences for your institution?

When (and how) do you go over her head?

Crossing the Bridge

- Be prepared before you cross
- Make sure you get all the way across
- Decide what you want

Do you have some responsibility?



Compliance Conversations

Cross with Humility and Confidence



The Compliance Confrontation

- Know who you are talking to
- Know what you are talking about and stick to the facts, not your opinion
- Listen, observe and ask questions to clarify
- Don't get mad go back to the facts
- Follow-up plan



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