



NIH Update Spring 2011

Office of Policy for Extramural Research Administration

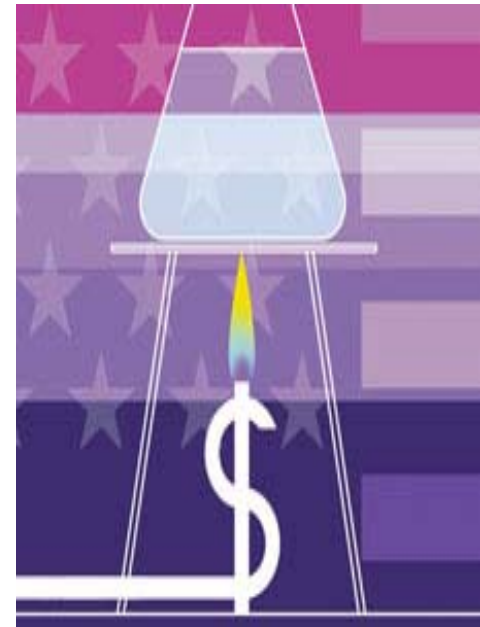


NIH Budget and Recovery Act News



NIH Budget News

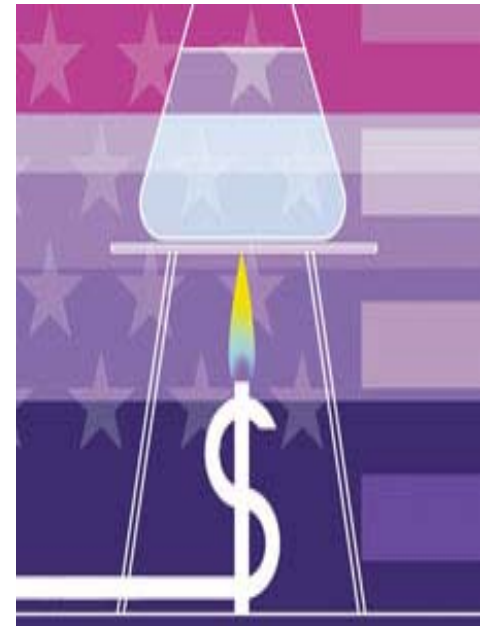
- President's 2011 Budget Request \$32.2 billion
 - Increase of 3.2% from FY 2010
 - Increase will support Innovative high throughput technologies, including DNA sequencing, imaging, and computational biology





NIH Budget News

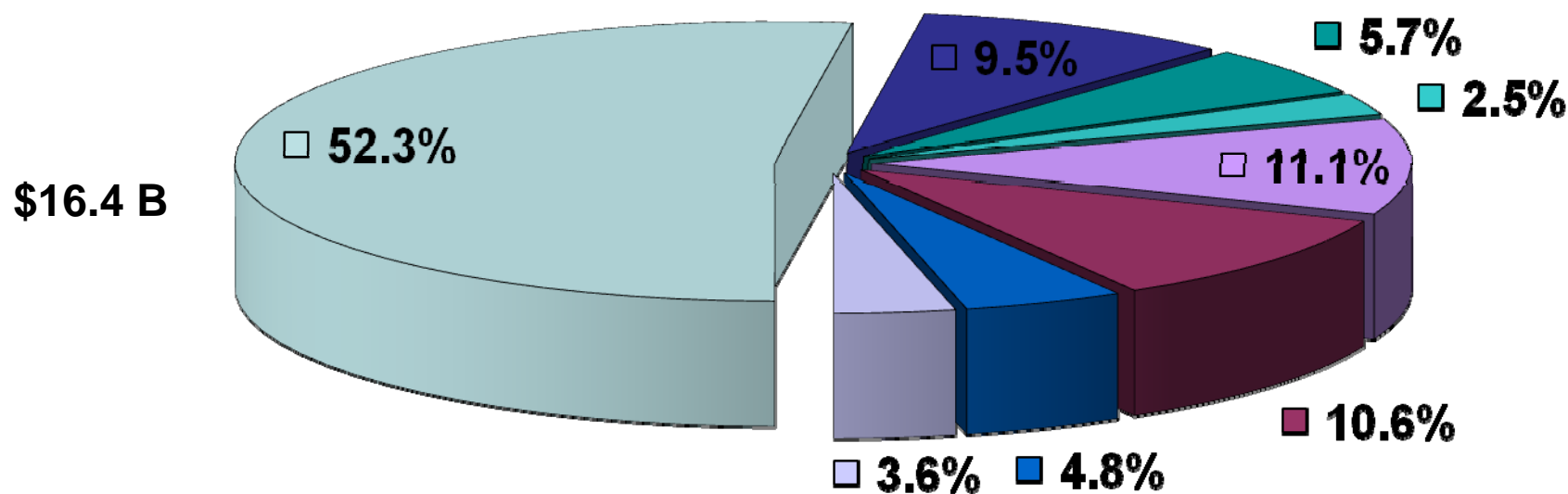
- President's 2012 Budget Request \$31.987 billion
 - Increase of 2.4% from FY 2010
 - Areas of emphasis:
 - Establishing the National Center for Advancing Translational Sciences (NCATS)
 - Technologies to accelerate discovery
 - Enhancing the evidence for health care decisions
 - New Innovator Award and Early Independence Award



More at: <http://officeofbudget.od.nih.gov/br.html>



Breakdown of FY 2012 President's Budget Request for NIH – \$31.987 Billion



■ **Research Project Grants (52.3%)**

■ **Other Research (5.68%)**

■ **R&D Contracts (11.07%)**

■ **Research Mgmt & Support (4.8%)**

■ **Research Centers (9.49%)**

■ **Research Training (2.48%)**

■ **Intramural Research (10.56%)**

■ **All Other (3.62%)**



ARRA

- Issued final ARRA awards by September 2010
- Reminders
 - Timely Quarterly and Closeout Reports Required
 - Standard NIH Terms of Award apply in addition to ARRA specific terms.
 - <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-067.html>
 - Spending should be timely and expeditious and in accordance with expected pace of research
 - Grantee has authority to extend project period end dates up to 12 months. (This is separate from the non-ARRA parent for Type 3 awards.)
 - Additional (prior approval) extensions



NIH Director's Early Independence Award (EIA)

- New program (DP5) to allow exceptional young investigators to “skip” the post-doc
- Inspired in some respects by programs at Carnegie, Whitehead, UCSF, and other institutions that show exceptional individuals do not require a post-doc to undertake pioneering research
- First year will be a pilot with approximately 10 awards
- For more information
 - [RFA-RM-10-019](#) (Applications were due 1/21/11)
 - <http://commonfund.nih.gov/earlyindependence>
 - earlyindependence@mail.nih.gov

More at: <http://commonfund.nih.gov/earlyindependence>



New Scientific and Grants Management Policies



Funding Opportunity Announcements

- New Format for Funding Opportunity Announcements (FOAs)
 - Shorter, easier to read, and more scientifically focused
 - See <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-019.html>
- All applications must be submitted in response to an FOA.
 - Beginning with due dates of September 25, 2010, and beyond
 - See <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-134.html>



Multi-year Funded (MYF) Award Progress Reports

- MYF awards are not funded incrementally (1 yr at a time), but have a budget period and project period that are the same, and are longer than 1 year
- Traditionally multi-year funded:
 - C06, DP2, DP3, R15
- Standardization of submission and content of progress reports for multi-year funded awards
- Supports monitoring of progress and enforcement of terms of award



Multi-year Funded (MYF) Award Progress Reports

- Progress reports due on or before anniversary date of award
- Report is a PDF uploaded through eRA Commons (NOT eSNAP)
- Instructions for Grantees on how and what to submit:
 - <http://grants.nih.gov/grants/policy/myf.htm>
- Reporting period is calendar year preceding anniversary date of the award
- Notifications
 - PD/PI receives eNotification two months prior to anniversary date of the award stating when progress report is due.
 - Subsequent eNotification sent when report is past due.

More at: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-010.html>



Mandating eSNAP

- SNAP progress reports due on/after August 1, 2010, must be submitted through the eRA Commons eSNAP module.
- SNAP provisions expanded to include:
 - IRB/IACUC dates not routinely required in progress report
 - Reports due 45 days instead of 60 days before anniversary
 - Ability to delegate submit authority to the PD/PI
- PHS 2590 Instructions updated:
<http://grants.nih.gov/grants/funding/2590/2590.htm>
- Revised eSNAP User Guide posted at
http://era.nih.gov/docs/eSNAP_UG_v2.25.0.0_041210.pdf.



Letters of Reference: Ks & Fs

- NIH will eliminate the 5-day grace period for receipt of letters for reference
 - Letters of reference will be due on application due date
 - Applicants are encouraged to request letters only from individuals able to submit them on time.
- Effective
 - April 8, 2011 for Fellowships (Fs)
 - June 12, 2011 for Individual Career Development awards (Ks)



Restructured Applications and Instructions

- New Page Limits for NIH Individual Career Development (K) Award Applications: Due Dates After January 7, 2011 ([NOT-OD-11-027](#))
 - The Mentoring Plan attachment will be limited to 6 pages.
 - The Statements by Mentor, Co-Mentors, Contributors attachment will be limited to 6 pages.
 - The Description of Institutional Environment attachment will be limited to 1 page.
 - The Commitment to Candidate's Research Career Development attachment is limited to 1 page.



Restructured Applications and Instructions

- Policies Affecting Submission of NIH Grant Applications for Due Dates on or after January 25, 2011 ([NOT-OD-11-021](#))
 - Policy on Page limits
 - End of two-day error correction window
 - Policy on post-submission application materials
 - Use of ADOBE-FORMS-B1
 - End of A2 application submissions
 - Time limit for NIH resubmission applications



Amended Policies on submission of late application materials prior to peer review

- NOT-OD-10-115
 - Effective September 25, 2010 due date
 - Only for unforeseen administrative issues
 - Page limits for supplemental information
 - Must have concurrence of Authorized Organization Representative
- NOT-OD-10-104 NIH Training and Related applications
 - Effective September 25, 2010 due date
 - Updated information and data on the applicant pool, admissions, enrollment, appointments and/or achievements
 - Updated faculty research support
 - Exceptions to this policy and other training-related activity codes (e.g., R25) in FOA
 - Concurrence of Authorized Organization Representative continues



Genomic Array Costs

- **Facilities and Administrative (F&A) costs awards with Genomic Arrays (GA) costs**
 - **First \$50,000 treated as supplies**
 - **Amount in excess of \$50,000 treated as consortium costs, F&A rate applied to first \$25,000 of excess each year**
 - **See: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-097.html>**



Transparency Act

- Federal Funding Accountability and Transparency Act of 2006 (P.L. 109-282) – a.k.a. *FFATA*.
- Mandates a single searchable website (www.usaspending.gov), accessible to public at no cost, with information on Federal financial assistance and contracts for recipient *and subrecipient* entities
- Includes grants, contracts, loans, and other forms of federal financial assistance
- Implemented in phases: 2006-Present
- FAQs:
http://grants.nih.gov/grants/public_accountability/ffata.htm



Transparency Act DUNS and CCR

- What are the requirements for DUNS and CCR?
 - All applicants required to have DUNS.
 - Requirement since 2003
 - All subawardees required to have DUNS
 - New
 - All applicants must, maintain current CCR.
 - Does not apply to subrecipients.
 - Already required for electronic applications.
 - All grantees will be required to maintain CCR.
 - Does not apply to subrecipients.
 - New

More at: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-004.html>



Transparency Act DUNS and CCR

- When is this rule effective?
 - Effective for all applications and awards
 - See Federal Register published September 14, 2010, *Financial Assistance Use of Universal Identifier and Central Contractor Registration*
- What does this mean for subawardees?
 - Subawardees must have DUNS before a subaward can begin
 - Subawardees NOT required to have DUNS at time of application
 - Applicant organization responsible for informing that subawardee must have DUNS before a subaward can begin
 - Subawardees NOT required to register in the eRA Commons



Transparency Act Executive Compensation

- Prime Grantee

- When is this rule effective?

- Effective for new (Type 1) awards made on or after 10/01/10
 - Anticipate expansion to other awards

- What triggers reporting?

- Awards of \$25,000 or more, and
 - In previous fiscal year, entity received
 - 80% or more in annual gross revenue from Federal grants, subaward, contracts, and subcontracts subject to the Act, and
 - \$25,000,000 or more annual gross revenue from Federal grants, subaward, contracts, and subcontracts, and
 - Public access to the information is not already available



Transparency Act Executive Compensation

- Prime Grantee
 - When are reports due?
 - End of month following month in which award was issued
 - Where is the information reported?
 - Central Contractor Registration (CCR) as part of your institution's registration profile



Transparency Act Executive Compensation

- First tier subawards
 - When is this rule effective?
 - Effective for new awards (Type 1) made on or after 10/01/10
 - What triggers reporting?
 - Subawards with obligations of \$25,000 or more, and
 - In the subrecipient's previous fiscal year
 - 80% or more in annual gross revenue from Federal grants, subaward, contracts, and subcontracts subject to the Act, and
 - \$25,000,000 or more annual gross revenue from Federal grants, subaward, contracts, and subcontracts, and
 - Public access to the information is not already available



Transparency Act Executive Compensation

- First tier subawards
 - When are reports due?
 - End of month following month in which obligated was made
 - Where is the information reported?
 - Subrecipient reports to Prime and Prime reports to FSRS not already publically available



Transparency Act Subaward Reporting

- Subaward Reporting for first tier subawards
 - When is this rule effective?
 - Effective for new awards (Type 1) made on or after 10/01/10
 - What triggers reporting?
 - Subawards obligations of \$25,000 or more
 - When is the report due?
 - By end of month following month the subaward obligation was made



Transparency Act Subaward Reporting

- Subaward Reporting for first tier subawards
 - Where is the information reported?
 - To FFATA Subaward reporting system (FSRS)
fsrs.gov
 - XML Upload available
 - Information made publicly available at
USASpending.gov
 - FSRS User support through the Federal Service Desk
www.fsd.gov
 - Where can I get more information on subaward reporting?
 - USASpending.gov under the “What’s New” tab



Transparency Act Subaward Reporting

- Exemptions to subaward reporting requirement for first tier subawards
 - ARRA Grants
 - Grants to Individuals
 - Federal Agency is Prime Recipient
 - Classified Awards
 - Recipients with under \$300,000 gross income in previous tax year



Revised Policy for Just-in-Time Submissions

- Applicants are responsible for verifying the accuracy and validity of all Just-in-Time information at the time of submission and for promptly notifying NIH of any substantive changes to previously submitted Just-in-Time information up the time of award, including:
 - Current other support, certifications, hESCs, other information requested by awarding IC
- Considered current for a period of up to 120 days



NIH Grants Policy Statement Revision - FY2011

- **Effective October 1, 2010, revision supersedes the December 2003 version**
 - Incorporates policy changes since 12/03, public policy changes, clarification of existing policies
 - Includes new chapters recommended by users (Career Awards, Multiple PD/PI), terminology changes as well as document enhancements.
 - Improves organization of existing chapters
- **New GPS published**

http://grants.nih.gov/grants/policy/nihgps_2010/index.htm



Change for Page Limits on T and D applications

- Effective for Institutional Training grants (NRSA and Non-NRSA Institutional) applications intended for May 25, 2011, and subsequent due dates
 - Required plans for instruction in Responsible Conduct of Research (RCR) will have page limits **separate** from the page limits for the Research Training Program Plan Component.
 - SF 424 (R&R) Research Training Program Plan Component: Background, Program Plan, and Recruitment and Retention Plan to Enhance Diversity will be limited to 25 pages.
 - RCR plan will be limited to 3 pages



Update

Fed-wide Streamlining Efforts FFR and RPPR



Federal Financial Report (FFR) SF 425

- Replaces Cash Transaction Report (SF 272) and Financial Status Reports (SF 269, SF 269A)
- Federal cash data portion of FFR
 - Implemented 1/1/10 in Payment Management System
- Expenditure data portion of FFR
 - Implemented 2/1/11 in the eRA Commons
 - Main differences
 - grantee submits cumulative data only
 - due dates for annual FFRs 90 days after end of calendar quarter in which budget period ends



Due dates for FFR Expenditure Data

Budget End Date	Final FFR Due Date (90 Days)	Calendar Quarter End	Annual FFR Due Date (90 Days after end of quarter)
1/31	5/1	3/31	6/30
2/28	5/29	3/31	6/30
3/31	6/29	3/31	6/30
4/30	7/29	6/30	9/30
5/31	8/29	6/30	9/30
6/30	9/28	6/30	9/30
7/31	10/29	9/30	12/31
8/31	11/29	9/30	12/31
9/30	12/29	9/30	12/31
10/31	1/29	12/31	3/31
11/30	2/28	12/31	3/31
12/31	3/31	12/31	3/31



Research Performance Progress Report (RPPR)

- Final RPPR format posted Spring 2010
- RPPR at NIH
 - Workgroup evaluating differences in RPPR and PHS 2590 and recommending agency specific reporting requirements
 - With goal to minimize impact on grantees
 - Implementation posted plan January 21, 2011
 - Will implement in an electronic environment
 - <http://www.nsf.gov/bfa/dias/policy/rppr/index.jsp>



Highlights of New and Existing Grant Requirements



Financial Conflict of Interest (FCOI) Regulations

- 42 CFR Part 50 Subpart F (PHS-funded grants and cooperative agreements)
- 45 CFR Part 94 (PHS-funded contracts)

**The regulations went into effect
on October 1, 1995**



Notice of Proposed Rulemaking

- Comment period for NPRM closed 8/19/10
- Comments received were carefully evaluated and considered
- Proposed Final Rule has been developed and is in the review and clearance process
- A Final Rule is expected to be published in the coming months



Important FCOI Reminders:

Definition of Investigator

- **Investigator** – Principal Investigator (PI) and any other person who is responsible for the design, conduct, or reporting of research funded by PHS, or proposed for such funding. *The term “Investigator” includes the Investigator’s spouse and dependent children.*
 - An **Investigator** is not just the Principal Investigator or Senior/Key Personnel conducting the PHS-sponsored research.
 - Recipient institutions are encouraged to broadly consider an individual’s role, rather than title, and their degree of independence when applying the definition.



Important FCOI Reminders: Summary of Reporting Requirements

1. **At the time of application:** Investigators must submit known significant financial interests to the institution.
2. **Prior to the expenditure of funds:** The institution must report a financial conflict of interest to the NIH and assure that it has been managed, reduced, or eliminated.
3. **FCOI identified after the initial report:** The institution must report within 60 days of identification and assure that it has been managed, reduced, or eliminated.



Important FCOI Reminders: Subrecipients

- If the grantee institution performs NIH-funded research through “subgrantees, contractors, or collaborators,” the grantee institution must take reasonable steps to ensure compliance by requiring either:
 - Subrecipient Investigators to comply with the grantee institution’s policy OR
 - Subrecipient institutions to provide assurances to the grantee institution that will enable it to comply

Subrecipients should report identified FCOIs to grantee institution. Grantee institution reports to NIH.



Important FCOI Reminders: Maintenance of Records

- Maintain proper documentation
 - All Financial disclosures
 - All actions taken by the Institution with respect to each conflicting interest
- Retain records for at least 3 years following submission of final Financial Status Report or, where applicable, from other dates specified in 45 CFR 74.53(b) for different situations.



Important FCOI Reminders: Sanctions for Noncompliance

The FCOI regulations require that Institutions:

- maintain an appropriate written, enforced policy on conflict of interest that complies with the regulations.
- establish adequate enforcement mechanisms and provide for sanctions, where appropriate.



Resources

- OER FCOI Web Site
 - <http://grants.nih.gov/grants/policy/coi/>
- Frequently Asked Questions
 - <http://grants.nih.gov/grants/policy/coifaq.htm>
- Web-based tutorial
 - <http://grants.nih.gov/grants/policy/coi/tutorial/fcoi.htm>
- Mailbox for inquiries
 - FCOICompliance@mail.nih.gov



Audit Requirements

All NIH Grantees that expend \$500,000 or more within a year in Federal awards are subject to an audit requirement.

- Audits are due within the earlier of 30 days after receipt of the auditor's report(s) or 9 months after the end of the grantee's audit period.
- Grantees delinquent in submitting audits risk the imposition of sanctions and potential loss of Federal funds.



Summary of Audit Requirements

Grantee Type	Source of Audit Requirement	Where to Submit Audit Reports
State & Local Governments	OMB Circular A-133 45 CFR Part 74.26	Online to: http://harvester.census.gov/fac/collect/ddeindex.html Questions: 1-800-253-0696
Colleges & Universities		
Non-Profits		
Hospitals		
For-Profits	45 CFR Part 74.26 (d)	National External Audit Review Center HHS Office of Inspector General HHS Office of Audit Services 100 Walnut Street, Suite 850 Kansas City, MO 64106-2197 Phone: 800-732-0679/816-426-7725
Foreign	NIH Grants Policy Statement (same as For-Profits)	(same as For-Profits)



Closeout Final Reports

*Grantees are **strongly encouraged** to submit closeout documents electronically through the eRA Commons!*

- Failure to submit timely reports may affect future funding to the organization.
- Documents are due within 90 days of project period end date
 - Final Federal Financial Report (FFR) SF-425 Expenditure Data
 - Final Inventions Statement & Certification
 - Final Progress Report
- Grantees must ensure there are no discrepancies between the final FFR expenditure data (in eRA Commons) and the FFR cash transaction data in the Payment Management System



NIH Centralized Processing Center

- Centralized office accepts receipt of all non-financial, paper-based closeout documents
 - Final Progress Report
 - Final Invention Statement and Certification
- If not using eRA Commons, mail to the Central NIH unit at:

NIH Centralized Processing Center

6705 Rockledge Drive, Room 2207, MSC 7987

Bethesda, MD 20892-7987 (for regular or US Postal Service Express mail)

Bethesda, MD 20817 (for other courier/express mail only)



Non-Competing Continuation Progress Reports

- Failure to submit timely progress reports may affect future funding to the organization
- Non-SNAP annual progress reports are due two months prior to the anniversary date
- SNAP progress reports (e-SNAP) are due 45 days prior to the anniversary date
- All grantees have access to a searchable list to determine which progress reports are due at: http://era.nih.gov/userreports/pr_due.cfm



Requirements and Compliance Assistance for OHRP / OLAW



Office for Human Research Protections

- Mission: Provide leadership in the protection of the rights, welfare, and wellbeing of subjects involved in research conducted or supported by the **U.S. Department of Health and Human Services (HHS)**
- Provide clarification and guidance
- Design educational programs and materials
- Maintain regulatory oversight
- Provide advice on ethical and regulatory issues in biomedical and behavioral research
- Website revised and streamlined 1/2/11



News from the Office for Human Research Protections

- **OHRP Videos on YouTube**
 - Includes "Research Use of Human Biological Specimens and Other Private Information" and "Reviewing and Reporting Unanticipated Problems and Adverse Events"
 - Two new videos, "Institutional Review Board (IRB) Membership" and "General Informed Consent Requirements Parts I (Research Investigator) and II (Research Subject)"
 - <http://www.youtube.com/user/USGOVHHS#g/c/5965CB14C2506914>
- **OHRP Posts Correspondence Regarding Use of Central IRB in Multi-site Research**
 - <http://www.hhs.gov/ohrp/policy/correspond/CIRB20100430.html>



Office of Extramural Research Human Subjects Program

- **Mission**: Provide expert advice and leadership in the areas of policy development, evaluation and implementation for human subjects protection issues related to the **NIH Extramural research programs**.
- Help ensure the compliance of NIH grantees with Federal regulations regarding the protection of human subjects in research
- Assess and approve resolution of human subjects concerns noted by NIH review groups for fundable applications
- As a public service, the NIH Office of Extramural Research offers a free tutorial on [Protecting Human Research Participants](#) .This training is also available in Spanish.



OLAW Educational Outreach 2010 Guide Notices

- Clarification on the Roles of NIH SRG and IACUC in Review of Vertebrate Animal Research
- Report on Site Visits to Chimpanzee Facilities and Associated Resources to Aid Grantee Institutions
- Update on Applicability of the Shelf Life Extension Program
- Update of the Guide for the Care and Use of Laboratory Animals
- Instructions for Completion and Technical Evaluation of the VAS in NIH Contract Proposals
- Update of Sample Animal Welfare Assurance for Foreign Institutions
- Guidance on Confirming Appropriate Charges to NIH Awards during Periods of Noncompliance for Activities Involving Animals
- Instructions for Completion and Peer Review of the VAS in NIH Grant Applications and Cooperative Agreements



OLAW Educational Outreach

- New FAQs at <http://olaw.nih.gov>
- OLAW Online free quarterly webinars
 - OLAW IACUC Staff Outreach
<http://grants.nih.gov/grants/olaw/e-seminars.htm>
 - OLAW IO Outreach
<http://grants.nih.gov/grants/olaw/outreach.htm>
 - Recordings of past webinars
http://grants.nih.gov/grants/olaw/educational_resources.htm





OLAW-supported Workshops

<http://grants.nih.gov/grants/olaw/workshop.htm>

Feb. 25, 2011	Seattle, WA	2011 IACUC Regional Education Conference
Mar. 16 & 17, 2011	Beltsville, MD	Meeting the Information Requirements of the Animal Welfare Act: A Workshop
Mar. 30, 2011	Chicago, IL	IACUC 101
Mar. 31 & Apr 1, 2011	Chicago, IL	PRIM&R 2011 IACUC Conference
May 11 & 12, 2011	Beltsville, MD	Meeting the Information Requirements of the Animal Welfare Act: A Workshop
May 11 & 12, 2011	Philadelphia, PA	IACUC 101/201 PLUS
May 13, 2011	Boston, MA	SCAW IACUC Training
Jun. 9, 2011	Boston, MA	IACUC 101
Jul. 15, 2011	St. Louis, MO	SCAW IACUC Training
Aug. 11, 2011	Des Moines, IA	IACUC 101
Aug. 14 & 15, 2011	Houston, TX	IACUC 101/201 PLUS



Update on Electronic Submission & eRA Commons



Electronic Submission



Window Shut. Now What?

NIH eliminated error correction window from application submission process as of January 25, 2011.

Plan ahead and remember elements of on-time submission:

- Submission must be accepted by Grants.gov with a timestamp on or before 5:00 p.m. local time (of submitting organization) on due date.
- All corrective submissions must be made by the due date/time.
- NIH's updated late policy does not allow for error corrections after the deadline.

Submitting your application early (days, not minutes) is the best way to ensure eSubmission success!



Enforcement of New “B1” Application Forms

- Updated SF424 (R&R) application forms are identified with a competition ID of “ADOBE-FORMS-B1.”
- F, K, T & D applicants are required to download and use these new forms for all submissions (as of January 25, 2011).
- Requirements for all other submissions:
 - For deadlines on or before May 7, 2011, applicants may use either the current ADOBE-FORMS-B or the new ADOBE-FORMS-B1 packages.
 - For deadlines after May 7, only applications submitted on ADOBE-FORMS-B1 will be accepted.



Updates Reflected in “B1” Application Forms

- PHS Fellowship Supplemental Form:
 - New “Sponsor(s) and Co-Sponsor(s)” attachment
- Form adjustments to enable appropriate attachments for Resubmissions of Renewal/Revision applications
 - Addresses issues identified in [NOT-OD-10-052](#)
- Project/Performance Site Location(s):
 - DUNS no longer required by Grants.gov for primary performance site; still required/enforced by NIH
- R&R Other Project Information:
 - Human Subject Assurance Number field enabled whenever the answer to “Are Human Subjects Involved?” is “Yes.”



Looking Ahead

- U01s
 - NIH electronic systems can now accept single project U01 applications electronically. These applications will transition to mandatory electronic submission as of:
 - Place holder for guide notice (being released next week)
- Soon applicants will be able to submit Change of Grantee or Training Institution (Type 7 applications) and Administrative Supplements (Type 3 applications) electronically.



Tips for Submitting Your Electronic Application

- **Register Early!**
Registration with both Grants.gov and eRA Commons must be completed before the submission deadline. These registrations may be completed simultaneously once a DUNS # is obtained.
- **Carefully follow the specific guidelines found in the Application Guide and the Funding Opportunity Announcement (FOA).**
Instructions in the FOA trump those found in the Application Guide.
- **Check your application for common errors before you submit.**
- **Correct any errors before the submission deadline.**
If errors are found in the submission process, you must submit a changed/corrected application before the deadline.
- **View your application.**
YOU are responsible for verifying that your error-free application is viewable in the eRA Commons. If you can't view it, NIH can't review it!
- **Submit early.**
Submitting early is the best way to ensure eSubmission success.



eRA Commons



xTrain

- xTrain required to prepare and submit appointments (PHS 2271) and terminations notices (PHS 416-7) for research training and career development awards, fellowships, and research education awards.
- A new role of “Sponsor,” similar to PD/PI role, exists for termination of fellowships.
- See xTrain overview presentations, FAQs and quick reference sheets.
 - New resources can be found at:
http://era.nih.gov/training_career/index.cfm



Looking Ahead

- Piloting FFR/FSR module for HHS partners
 - AHRQ, CDC, FDA, SAMSHA
- Change of Grantee or Training Institution (Type 7 applications)
 - working to transition to electronic applications
- Administrative Supplements (Type 3 applications)
 - working to transition to electronic applications
- Changes to how users log in to eRA Commons
 - Federated authentication
 - Future changes to improve security
- Changes to Financial Conflict of Interest module to meet compliance needs
- Transition to Research Performance Progress Report



Helpful NIH Resources



NIH Regional Seminars 2011

- NIH Regional Seminars for administrators and researchers new to NIH
 - April 28 & 29 in Phoenix, AZ
 - June 23 & 24 in Fort Lauderdale, FL
- Faculty: NIH policy officials, grants management, program and review staff, eRA Commons trainers, OHRP, OLAW
- Information at:
<http://grants.nih.gov/grants/seminars.htm>



Frequently Asked Questions

- FAQs include questions about:
 - Application/progress report preparation, funding initiatives, policies, human subjects, animals, disaster response, etc...
 - http://grants.nih.gov/grants/frequent_questions.htm
 - Key word search – coming soon.
- Recent additions:
 - Senior/Key Personnel
 - http://grants.nih.gov/grants/policy/senior_key_personnel_faqs.htm
 - All Personnel Report
 - http://grants.nih.gov/grants/funding/all_personnel_report_faq.htm




Tools to Search NIH Funding

- **RePORT** (<http://report.nih.gov>)
 - Replaced Award Information and Data web page
 - Quick links to “Frequently Requested Reports”, FAQs;
 - Includes ARRA-specific data queries
- **RePORTER**
(<http://projectreporter.nih.gov/reporter.cfm>)
 - Replaced CRISP
 - Includes information from NIH project databases and funding records, PubMed abstracts and full-text articles, and U.S. Patent and Trademark Office
 - Project descriptions, funding details, and research results



Summary of Helpful NIH Web Pages

- Office of Extramural Research (OER) Web Page
<http://grants.nih.gov/grants/oer.htm>
- NIH Grants Policy Statement (Rev. 10/10)
http://grants.nih.gov/grants/policy/nihgps_2010/index.htm
- NIH Extramural Nexus – newsletter for the extramural community
<http://nexus.od.nih.gov/all/nexus-by-date/>
- Rock Talk 
<http://nexus.od.nih.gov/all/rock-talk/>
- Grant Application Basics
http://grants.nih.gov/grants/grant_basics.htm



Summary of Helpful NIH Web Pages

- Applying Electronically
<http://grants.nih.gov/grants/ElectronicReceipt/index.htm>
- Annotated SF424 (R&R) Application Forms (General and Small Business)
<http://grants.nih.gov/grants/ElectronicReceipt/communication.htm#forms>
- Ten Checks to Help Avoid Common Application Errors
http://grants.nih.gov/grants/ElectronicReceipt/avoiding_errors.htm#10checks
- Do I have the right electronic forms for my NIH application?
http://grants.nih.gov/grants/ElectronicReceipt/files/right_forms.pdf



Summary of Helpful NIH Web Pages

- NIH Extramural Response to Natural Disasters
http://grants.nih.gov/grants/natural_disasters.htm
- eRA Commons Web pages
<http://era.nih.gov/>
- Updated (8-6-2010) eSNAP User Guide
http://era.nih.gov/docs/eSNAP_UG_v2.25.0.0_041210.pdf
- Updated (7-2-2010) Commons User Guide
http://era.nih.gov/docs/COM_UGV2630.pdf
- Self Help Resources page:
http://era.nih.gov/help/self_help.cfm
- Intellectual Property Policy
<http://grants.nih.gov/grants/intell-property.htm>



NIH OER Listservs

- NIH Guide for Grants and Contracts:
 - Official publication for NIH Grant Policies, Guidelines & Funding Opportunities
 - <http://grants.nih.gov/grants/guide/listserv.htm>
- Office for Human Research Protections (OHRP):
 - <http://www.hhs.gov/ohrp/news/distributionlist.html>
- Office of Laboratory Animal Welfare (OLAW):
 - <http://grants.nih.gov/grants/olaw/references/list.htm>
- eSubmission:
 - Separate listservs available for scientists and administrators
 - <http://era.nih.gov/ElectronicReceipt/listserv.htm>



Grants Information: Who to Contact!

- General Application Questions:
 - E-Mail: GrantsInfo@nih.gov
 - Phone: 301-435-0714
- Grants.gov Customer Support:
 - E-Mail: support@grants.gov
 - Webpage: <http://grants.gov/>
 - Phone: 800-518-4726
- eRA Commons Helpdesk:
 - Webpage: <http://ithelpdesk.nih.gov/era/>
 - Phone: 301-402-7469 or 800-504-9552



Grants Information: Who to Contact (cont...)

- Institutional Resources
 - Your organization's *Office of Sponsored Programs*
- Administrative questions on
 - Grants Management Specialist listed in eRA Commons or NoA
 - Grants Administrators at all NIH ICs:
 - http://grants.nih.gov/grants/staff_list_grants_admin.htm
 - NIH Chief Grants Management Officers:
 - http://grants.nih.gov/grants/stafflist_gmos.htm
- Scientific questions
 - Program Official listed in eRA Commons or NoA
- Review questions
 - Scientific Review Officer listed in eRA Commons



Grants Information: Who to Contact (cont...)

- Division of Grants Policy:
 - E-Mail: GrantsPolicy@mail.nih.gov
 - Phone: 301-435-0949
- Division of Grants Compliance & Oversight:
 - E-Mail: GrantsCompliance@mail.nih.gov
 - Phone: 301-435-0949



Thank You!

Any Questions?