

FDP ARRA Update

NCURA Region IV

Omaha, Nebraska

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Definition of Terms



Federal Demonstration Partnership



American Recovery and Reinvestment Act

What is the FDP?

- Cooperative effort among federal research agencies, universities and other research organizations
- Streamlines the federal/university research support relationship, reduces administrative burden
- Convened by the National Academy of Science's Government-University-Industry Research Roundtable (GUIRR)
- Began in 1988 as the Florida Demonstration Project
- Currently in Phase V which will extend through 2014

FDP Mission Statement



... to examine, improve and streamline the administrative processes involved in the competitive appointment, allocation and management of federal funds which support research activities at institutions of higher education throughout the country. This supports the primary goal of streamlining with accountability to decrease researcher time focused on administrative requirements and so maximize the time available for research.

FDP Institutional Members

120 Research Organizations

- Large, Medium & Small Research Universities
- Emerging Research Institutions (ERI)
- Hospitals
- Independent Research Organizations

FDP Federal Agencies

10 Federal Agencies

- National Institutes of Health
- National Science Foundation
- Office of Naval Research
- US Department of Agriculture
- National Aeronautics and Space Administration
- Army Research Office
- Air Force Office of Scientific Research
- Army Medical Research and Material Command
- Environmental Protection Agency
- US Department of Homeland Security

FDP Affiliate Organizations

5 Affiliate Organizations

- ★ • NCURA - National Council of University Research Administrators
- SRA - Society of Research Administrators International
- COGR - Council on Governmental Relations
- AIRI - Association of Independent Research Institutes
- AACSCU - American Assoc. of State Colleges and Universities

Faculty at FDP

- Faculty representation introduced in Phase III
- The faculty reps elect a Co-Chair of their standing committee
- The Faculty Chair serves as the Vice-Chair of the Executive Committee

FDP Success Stories

- Expanded Authorities
- Faculty Burden Survey FDP
- Subaward Agreement
- Standard Government-wide Terms and Conditions
- Emerging Research Institutions GUIRR Partnership Workshop
- FDP as key sounding board for Research Business Models Subcommittee of the Committee on Science, grants.gov, research.gov, etc.

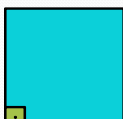
- ★ • FDP ARRA Subcommittee

FDP ARRA Subcommittee

- Formed August 2009
- Website with resources
http://sites.nationalacademies.org/PGA/fdp/PGA_055755
- Surveys
- Listserv
- COGR-FDP FAQs
<http://www.cogr.edu/viewDoc.cfm?DocID=151634>

American Recovery and Reinvestment Act



- 
- Enacted 2/17/2009
 - \$21.5 bil federal R&D
 - \$787 bil total ARRA funding
 - Oversight by RATB, OMB, and Agencies

ARRA Resources

FDP ARRA web site & listserv
http://sites.nationalacademies.org/PGA/fdp/PGA_055755

OMB Guidance Memoranda

- M-10-14 (March 22, 2010)
- M-10-08 (December 18, 2009)
- M-09-21 (June 22, 2009)
- M-09-15 (April 3, 2009)
- M-09-10 (February 18, 2009)



FederalReporting.gov - Messages, Tips, User Guide, Quick Reference Guides, FAQs

Data Model v3.0

COGR-FDP FAQs -

<http://www.cogr.edu/viewDoc.cfm?DocID=151634>

ARRA Resources


- National Institutes of Health
<http://grants.nih.gov/recovery/>
- National Science Foundation
<http://www.nsf.gov/recovery/>
- Department of Energy
<http://www.energy.gov/recovery/>
- Department of Education
<http://www2.ed.gov/policy/gen/leg/recovery/>
- National Institute of Standards and Technology
<http://www.nist.gov/recovery/>

And many more...



ARRA Reporting Modes

- Online form
- Excel template
- XML file

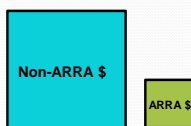


ARRA Reporting Mechanics

- FederalReporting.gov subject to glitches
- Reported data missing
- Prime/subrecipient data prone to error

ARRA University Staffing Needs

- Proposal submissions increased
- Award set-ups increased
- Progress reports increasing
- Different rules apply
- Different subaward boilerplate
- More oversight required
- More info to and questions from PIs

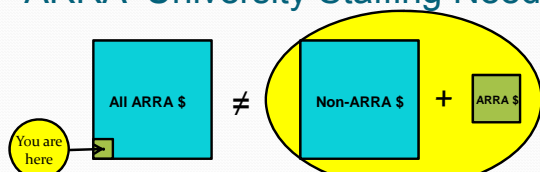


ARRA University Staffing Needs

- Quarterly reports
- New reporting system
- Evolving guidance
- Integration of programmatic and financial data
- Documentation of reporting process
- Revising university procedures and forms
- New data fields (e.g. jobs)
- More audit interaction, agency site visits



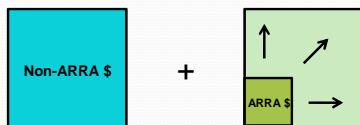
ARRA University Staffing Needs



(Slide 10 – The Big Picture)

(Slide 13 – The University Picture)

ARRA University Staffing Needs

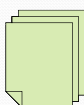


Increased administrative needs of ARRA funds disproportionately increase impact on staffing.

ARRA University Documentation

Key audit advice – document the reporting process

- Nuts and bolts info
- Version control
- Internal audit review and approve



ARRA Quarterly Activities (scientific/programmatic progress)

- Collecting information from PIs
- Editing for consistency with other reported data
- Removing PII (personally identifiable information)

ARRA Jobs Calculation

Standard formula applies
Quarterly basis (not cumulative)

$$E = mc^2$$

Issues:

- Retroactive changes
- Matching with quarterly activity report from PI
- Collecting from vendors and subs
- Consultants
- Overtime

ARRA Vendor Data



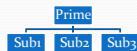
Under \$25,000

- Defining and identifying applicable financial transactions

Over \$25,000

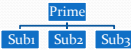
- Obtaining vendors' demographic data
- Getting jobs created/retained
- PO terms modified

ARRA Subawards



- New **FDP** boilerplate (templates available at http://sites.nationalacademies.org/PGA/fdp/PGA_056020)
- Document CCR exists and is current
- Determine if additional oversight is needed

ARRA Subawards



- Obtain subawardee demographic data
- Collect \$/jobs, or delegate reporting and collect jobs
- Timely exchange of information
- Expenditure lag can create mismatch with job data
- If “non-delegated” subrecipient, verify prime’s submission

ARRA Construction



- Public building subject to ARRA Buy American
- Private building subject to Buy American
- Walsh-Healy applicable
- Job reporting required

ARRA Contracts

- FAR clause 52.204-11 applies
AMERICAN RECOVERY AND REINVESTMENT ACT—
REPORTING REQUIREMENTS (Mar 2009)
- Data model v3.0 notes slightly different reporting specs

University/State Coordination

State Fiscal Stabilization Fund Process

- Does university report through state?
- When?
- How?
- What?

ARRA Stealth Awards



- Some incoming flowthru \$\$s not clearly identified
- Some agencies mixing ARRA with non-ARRA
- Need to stay alert!

ARRA Continuous QA



- Primary emphasis on timely reporting
- Challenge to get all information by deadline
- Frequency of corrections
- Justification for corrections

ARRA Audits

- OMB Circular A-133 2009 Compliance Supplement
 - Appendix VII (March 2009)
 - Addendum #1 (6/30/09) – See “Additions” and “Internal Controls”
- New OMB guidance anticipated
- Awards with ARRA funds considered “high risk”

ARRA Audits

- NSF
 - Alert Memoranda within NSF (phase I)
 - Grantee Capacity/Capability Reviews (phase II)
 - Traditional Audits (phase III)
- NIH
 - Risk-based audits to target high-risk programs & entities
 - Statistical testing
- DOE
 - Starting with customer service system reviews

NIH Q&A (live)



Contact after session:

- NIH Grants Policy Questions (including ARRA):
GrantsPolicy@mail.nih.gov
- ARRA Recipient Reporting Questions:
NIHARRAReporting@mail.nih.gov

Other Questions, Suggestions?

FDP ARRA Update

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